

**COMPLIANCE CERTIFICATE FOR THE QUARTER ENDED 30<sup>TH</sup> JUNE 2022**  
*(Pursuant to Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015)*

I, Mrinal Shrivastava, Compliance Officer, have examined the following compliance requirement of Power Grid Corporation of India Limited (Company) and certify that the Company has maintained a Structured Digital Database (SDD) pursuant to provisions of Regulation 3(5) and 3(6) of **Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015** (PIT Regulations):

Sr. No	Compliance Requirement	Yes/No	Observation/ Remark
1.	Whether the Company has a Structured Digital Database in place?	Yes	A system for recording Name and PAN of all the Designated Persons and intermediaries, who are presumed to be in possession of Unpublished Price Sensitive Information (UPSI) is in place.
2.	Whether control exists as to who can access the SDD for read/ write alongwith the names and PAN of such person?	Yes	Compliance Officer has access of the same.
3.	Whether all the UPSI had been captured in the Database. If not details of events that had not been captured and the reason for the same?	Yes	The Company has identified and captured all UPSI.
4.	Whether the recipients were upfront informed that the information which they will be receiving shortly is UPSI and the entry has been captured in the Database prior to forwarding the UPSI data. If not details of events that have not been captured and the reason for the same?	Yes	Communication of UPSI with recipients is only on need-to-know basis in furtherance of legitimate purposes, performance of duties or discharge of legal obligations. The recipients were upfront informed that the information is UPSI.
5.	Whether nature of UPSI have been captured alongwith date and time?	Yes	UPSI shared by Designated Persons have been / are being captured along with date and time.
6.	Whether name of persons who have shared the information has been captured along with PAN or any other identifier?	Yes	The name and PAN of the Sender and Recipient of UPSI have been / are being captured in the system.
7.	Whether name of persons with whom information is shared has been captured along with PAN or any other identifier?	Yes	
8.	Whether the database has been maintained internally?	Yes	UPSI shared by Designated Persons within the organisation are captured along with audit trial and time stamping.
9.	Whether audit trail is maintained?	Yes	
10.	Whether time stamping is maintained?	Yes	
11.	Whether the database is non-tamperable?	Yes	
12.	Any other measures to ensure non-tamperability of the Database?	-	

**Note: The information of the audit should cover the period when such information was inserted in the SDD upto the date of disclosure.**



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केन्द्रीय कार्यालय : "सौदामिनी" प्लॉट सं. 2, सेक्टर-29, गुरुग्राम-122001 (हरियाणा), दूरभाष : 0124-2822000, 2823000  
 Corporate Office : "Saudamini", Plot No. 2, Sector-29, Gurugram-122001, (Haryana) Tel. : 0124-2822000, 2823000


पंजीकृत कार्यालय : बी-9, कुतब इंस्टीट्यूशनल एरिया, कटवारिया सराय, नई दिल्ली-110016 011-26560112, 26564812, 26564892, सीआईएन : L40101DL1989GOI038121  
 Registered Office : B-9, Qutab Institutional Area, Katwaria Sarai, New Delhi-110016. Tel.: 011-26560112, 26564812, 26564892, CIN : L40101DL1989GOI038121

Website : www.powergrid.in

The number of days for which non-compliance was observed: Nil

Further I also confirm that the Company was required to capture 2 (two) number of events during the quarter/~~half year~~ ended 30<sup>th</sup> June, 2022 and has captured 2 (two) number of the said required events.

For Power Grid Corporation of India Limited



Mrinal Shrivastava  
(Compliance Officer)

Date: 11<sup>th</sup> August, 2022

Place: Gurgaon